



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

Application No.: 09/675,907

Filed: September 29, 2000

For: CHANGING CHARACTERISTICS OF A

VOICE USER INTERFACE

Art Group: 2654

Examiner: HAN, Qi

RECEIVED

SEP 2 3 2004

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

09/21/2004 NROCHA1 00000020 09675907

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF R/& ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 439-8778

Date: September 16, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Tustin A. Morrow

Date

-2-42390P9766 SEP 2 0 MON THE

ÉE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known				
Application Number	09/675,967 [[[] []			
Filing Date	September 5.00 EIVED			
First Named Inventor	CED 0.0 2004			
Examiner Name	HAN, Qi SEP 2-3-2004			
Art Unit	2654 - 1 1 0 1 0000			
Attorney Docket No.	42390P9 Fechnology Center 2600			

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)			
Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES	S			
Deposit Account	Large	Entity	Sma	II Entity	у			
	Fee	Fee	Fee Code	Fee	-			
Deposit Account 02-2666	Code	(\$)		(\$)	Fee Description Fee Paix			
Number	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or			
Account Blakely Sokoloff, Taylor & Zafman LLP			ĺ		cover sheet.			
None	2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification For filing a request for ex parte reexamination			
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	* Requesting publication of SIR prior to			
Channel and additional factors are undersooned of factors are required under 27	•				Examiner action			
CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above-ideniified deposit account	1251	110	2251	55	Extension for reply within first month			
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month			
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month			
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month			
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month			
1001 770 2001 385 Utility filing fee	1404	330	2401	165	Notice of Appeal			
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing			
1004 770 2004 385 Reissue filing fee	1451	1,510		1,510	Petition to institute a public use proceeding			
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable			
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES _{Evtra} Foe from	1501 1502	1,330 480	2501 2502	665 240	Utility issue fee (or reissue) Design issue fee			
2. EXTRA CLAIM FEES _{Extra} Fee from Claims below Fee Paid	1503	640	2503	320	Plant issue fee			
Total Claims	1460	130	2460	130	Petitions to the Commissioner			
Independent Same X = X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt 180.00			
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be			
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple Dependent claim, if not paid		·			examined (37 CFR § 1.129(b))			
1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continued Examination (RCE)			
patent	1802	900	1802	900	Request for expedited examination of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fe	e (specify)						
SUBTOTAL (2) (\$)								
**or number previously paid, if greater, For Reissues, see below	*Reduced by Basic Filing Fee Paid			1	SUBTOTAL (3) (\$) 180.0			
SUBMITTED BY					Complete (if applicable)			
Name (Print/Type) Gregory D. Caldwell) Re	egistratio torney/Age	on No. ent)	3	39,926 Telephone (503) 439-8778			
Signature	ノノ	/			Date 09/16/04			

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	September REGEIVED			
		First Named Inventor	SEP 2-3 2004			
				Art Unit	2654	
				Examiner Name	HAN, Qi Technology Center 2600	
Total Number of I	Pages	in This Submiss	ion	Attorney Docket Number	42390P9766	
		ENCLO	SURES (chec	ck all that apply)		
Fee Transmittal	Form		Drawing(s))	After Allowance Communication to Group	
Fee Attac	ched		Licensing-	related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / R	espon	se	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Fina Affidavits		ration(s)	Petition to Provisional	Convert a Application	Proprietary Information	
Extension of Tir	ne Re	quest	Power of A Change of	Attorney, Revocation Correspondence Address	Status Letter	
Express Abandonment Request			Terminal D	Disclaimer	Other Enclosure(s) (please identify below):	
Information Disclosure Statement			Request fo	r Refund	Check in the amount of	
TO/SB/08			CD, Numbe	er of CD(s)	\$180.00 Copy of 1 cited ref	
Certified Copy of Priority Document(s)					Return Postcard	
Response to Missing Parts/ Incomplete Application						
Basic Filing Fee			Remarks			
Decla	aration	/POA				
Response Parts und 1.52 or 1.	e to Mi ler 37 .53	issing CFR				
		SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR A	GENT	
Firm	Gregory D. Caldwell, Reg. No. 39,926					
or Individual name BLAKELY, SOKOLOFF				PAYLOR & ZAFN	IAN LLP	
Signature						
Data						
Date	Se	eptember 16	, 2004			
		espondence is b	eing deposited w		I Service on the date shown below with ents, P.O. Box 1450, Alexandria, VA	
Typed or printed na	ame	Kristin A. M				
Signature		Kristi	in Q. Y.	Donow [Date September 16, 2004	

ST 1 Substitute for form 1449A/PTO
INFORMATION DISCLO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	
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	Complete if Known
Application Number	09/675,907 RECEIVED September 29, 2000
Filing Date	September 29, 2000
First Named Inventor	SEP 2 3 2004
Art Unit	2654
Examiner Name	HAN, Qi Technology Center 2600
Attorney Docket Number	42390P9766

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.'	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
-	1	US-6,094,476	07-25-2000	Hunt et al.			
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FOREIGN PATENT DOCUMENTS							
Examiner Continued Initials* N	' Cite	Foreign Patent Document	Publication Date MM-DD-YYYY		Pages, Columns, Lines,		
	No.1	Country Code - Number - Kind Code (if known)		Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
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Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Ehter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible pilicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.